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PTO/SB/21 (02-04) (AW 02/2004)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 14 + 7 references

Application Number 09/821,985

Filing Date March 30, 2001

First Named Inventor Kenichiro Yamauchi

Art Unit 2615

Examiner Name

Attorney Docket No. MTS-3231US

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ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation, Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Return Postcard

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Allan Ratner	Registration No. (Attorney/Agent)	19,717
Signature			
Date	February 20, 2004		

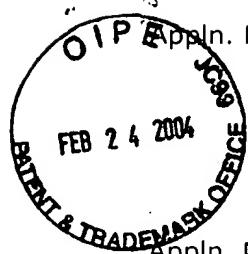
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Appln. No.: 09/821,985

MTS-3231US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 09/821,985
Applicant: Kenichiro Yamauchi et al.
Filed: March 30, 2001
Title: SPECIAL REPRODUCTION DATA GENERATING DEVICE, MEDIUM, AND INFORMATION AGGREGATE
TC/A.U.: 2615
Examiner:
Confirmation No.: 9159
Docket No.: MAT-3231US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
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Alexandria, VA 22313-1450

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Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the Form PTO-1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The enclosed references were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report, which indicates the degree of relevance found by the foreign office, is transmitted herewith. MPEP 609 IIIA(3), second paragraph.

Please note that U.S. Patent 6,031,960 was submitted in an IDS filed on March 30, 2001 and, therefore, is not being included at this time.

This Information Disclosure Statement is being filed before receipt of the first Office Action and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RatnerPrestia



Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/kc

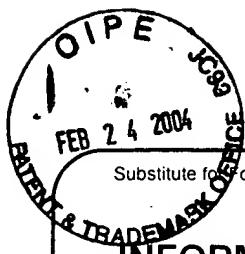
Enclosures: Form PTO-1449
(7) References
Search Report

Suite 301
One Westlakes, Berwyn
P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 1 of 3

Complete if Known

Application Number	09/821,985
Filing Date	March 30, 2001
First Named Inventor	Kenichiro Yamauchi
Art Unit	2615
Examiner Name	

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Issue/ Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,566,174 A	10/15/1996	Takashi et al.	Col 1, line 61-Col 2, line 3 Col 2, lines 61-64 Col 5, lines 5-18 Col 5, lines 27-37 Figs. 3, 5-7
		US-5,596,581 A	01/21/1997	Takashi et al.	Col 11, lines 29-34 Col 12, lines 3-6 Col 13, lines 4-8 Col 13, lines 19-23 Col 13, line 66-Col 14, line 5 Col 15, lines 16-20 Col 15, lines 31-37 Col 15, lines 49-60 Col 16, lines 9-12 Col 16, lines 34-39 Figs. 8, 9, 12, 14, 15
		US-5,966,387 A	10/12/1999	Cloutier	Whole Document
		US-5,946,446 A	08/31/1999	Yanagihara	Col 9, line 44-Col 10, line 5 Col 10, lines 25-46 Col 11, lines 1-36 Figs. 1, 3, 9

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		EP 0 737 975 A	10/16/1996	Tokyo Shibaura Elec	Col 9, lines 29-31 Col 10, lines 28-49 Fig. 1	<input type="checkbox"/> <input type="checkbox"/>

Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional).²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶Applicant is to place a check mark here if English language translation is attached.

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STATEMENT BY APPLICANT

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SHEET 2 of 3

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Application Number	09/821,985
Filing Date	March 30, 2001
First Named Inventor	Kenichiro Yamauchi
Art Unit	2615
Examiner Name	

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 97 40623 A	10/30/1997	Sun Microsystems	Pg 3, lines 32-34 Pg 4, lines 3-5 Pg 7, lines 20-22 Pg 8, lines 25-27 Pg 9, lines 4-8 Pg 9, lines 35-38 Pg 11, lines 5-7	<input type="checkbox"/>
		EP 0897 245 A	02/17/1999	Sarnoff Corp	Paragraph 0010-0011 Paragraph 0013-0016 Paragraph 0020 Paragraph 0023 Paragraph 0025-0026 Paragraph 0029-0030 Paragraph 0032 Paragraph 0041 Paragraph 0043-0044 Paragraph 0050-0051 Paragraph 0055 Paragraph 0061 Figs. 1-6	<input type="checkbox"/>

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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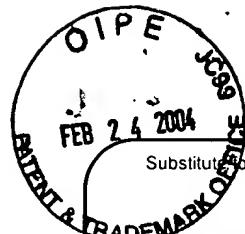
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 3 of 3

Complete if Known

Application Number 09/821,985

Filing Date **March 30, 2001**

First Named Inventor | Kenichiro Yamauchi

Art Unit 2615

Examiner Name

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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²Applicant is to place a check mark here if English language

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